

Umberto X. Rodriguez

8 Knight Way • Largesse, Arizona 07470 • (C) 555-555-4433 (W) 555-432-9981 • umberto@woohoo.com

EXPERIENCE

Orange, Julius, Grape & Howard, LLP, Bombast, AZ

Partner,

Member of LLGM's Insurance Regulatory Practice Group. Currently focusing on providing counsel to insurance and reinsurance companies on a variety of matters, including regulated business transactions, regulatory compliance, privacy matters, licensing, website regulatory review, strategic business planning, surplus lines matters and development of regulatory and legislative policies.

Areas of Concentration: Surplus Lines, Licensing, Reinsurance, Privacy Matters (GLB), IP.

Gaslight & Horst LLP, Bombast, AZ

Attorney,

February 2002 to Present

Member of Fulbright & Jaworski's Insurance Regulatory Practice, Corporate Governance, Banking, and Securities Group. Currently focusing on providing counsel to insurance and reinsurance companies and other financial institutions on a variety of matters, including regulated business transactions, regulatory compliance, privacy matters, licensing, strategic business planning, surplus lines matters and development of regulatory and legislative policies.

Areas of Concentration: Corporate Transactions, Licensing, Reinsurance, Surplus Lines, Banking, Privacy Matters (GLB), IP.

Osgood Davis LLP, Bombast, AZ

Attorney,

2001 to February 2002

Member of Bryan Cave's Corporate and Transactions Group and Insurance and Regulatory Group. Focus was on providing counsel to insurance and reinsurance companies and other financial institutions on a variety of matters, including regulated business transactions, regulatory compliance, privacy matters, licensing, strategic business planning, surplus lines matters and development of regulatory and legislative policies. Moved with practice group to Fulbright & Jaworski in 2002.

Areas of Concentration: Corporate Transactions, Licensing, Reinsurance, Surplus Lines, Banking, Privacy Matters (GLB), IP.

Lord & Baron LLP, Bombast, AZ

Partner,

1991 to 2001

Associate,

1988 to 1991

Directed and coordinated all aspects of the Insurance Regulatory Compliance Department: including but not limited to: insurer licensing; surplus lines eligibility and filings; market conduct proceedings; insurer and broker organization and licensing; merger/acquisition due diligence; and reinsurance contract review. In addition I attended NAIC meetings and departmental hearings as well as participated in various industry advisory groups.

On the administrative side, I oversaw the London letter of credit program with Citibank, N.A. including the drafting of trust agreements and all other legal aspects on behalf of alien reinsurer clients. Additionally, I was responsible for monitoring all companies in liquidation on behalf of the firm, including the development of a database; and participated with the accounting department in the collection effort from insolvent clients.

Areas of Concentration: Lloyds, LOC, Reinsurance, Surplus Lines, Solvency Schemes, Licensing, IP.

R.F. Davies & Son, Artax, NM

Contracts Department

Assistant Vice President

1987 to 1988

Prepare and negotiate reinsurance contracts with cedent clients and reinsurers. Analyze legal issues and make recommendations regarding resolution, reporting of matters to E&O carrier and/or parent company.

R.F. Davies & Son, Artax, NM
*Assistant Secretary-Treaty Accounting and
Letter of Credit Manager* 1984 to 1987
Supervised and coordinated a staff of 17 in all phases of Treaty Accounting including Letters of Credit.

CRANE BANK, N.A., Bombast, AZ
*Letters of Credit Department
Supervisor/ Senior Technician* 1981 to 1984
Supervised a staff of seven responsible for all aspects of Standby Letters of Credit.

Woodmen of the World Insurance. Bombast, AZ
Overseas Operations Support 1978 to 1981
Senior Operations Assistant
Wrote Procedures Manuals for International Operations in compliance with the Foreign Corrupt Practices Act.

EDUCATION

Columbia Law School, New York, NY
Juris Doctorate 1987

University of Chicago, Chicago,
*Masters of Business Administration, with Honors
Concentration Finance* 1983

Woodmen of the World Insurance. Omaha, NE
Letter Of Credit Training Program 1978

University of Arizona
Bachelor of Arts 1978

BAR ADMISSIONS

New Mexico 1987, Arizona 1988

PUBLISHED ARTICLES

Today's Banking Pitfalls and How to Avoid Them, Banking Journal International, February 2002
Jurisdictional Issues in Credit Cases, Creditors Daily Journal, Fall 2001