

UMBERTO X. RODRIGUEZ

8 Knight Way • Largesse, Arizona 07470 • (C) 555-555-4433 (W) 555-432-9981 • umberto@woohoo.com

PROFILE

Highly accomplished attorney with more than 15 years of experience in insurance regulatory law and successful career characterized by front-line positions in top national law firms. Also experienced in banking and management.

Qualifications/achievements include:

- Proven track record of effectively representing the legal needs of insurance and reinsurance companies.
- As partner at Lord & Baron, LLP, directed and coordinated the Money and Credit Compliance Department, handling all aspects of insurer licensing, surplus lines eligibility and filings, market conduct proceedings, insurer and broker organization, mergers/acquisitions due diligence, and reinsurance contract review.
- Oversaw Lord & Baron's Copenhagen letter of credit program with Villagebank, LLP including the drafting of trust agreements and all other legal aspects on behalf of alien reinsurer clients.
- Published author on banking topics: "Today's Banking Pitfalls and How to Avoid Them" @ *Banking Journal Int'l*, Aug. 2002; and "Jurisdictional Issues in Credit Cases" @ *Creditors Daily Journal*, Fall 2001.
- **Licensed to practice in Arizona since 1988 and New Mexico since 1987.**

AREAS OF EXPERTISE

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| ▪ Banking | ▪ Regulatory Compliance |
| ▪ Corporate Transactions | ▪ Reinsurance |
| ▪ Intellectual Property | ▪ Solvency Schemes |
| ▪ Licensing | ▪ Strategic Business Planning |
| ▪ Privacy Matters | ▪ Surplus Lines |

CAREER OVERVIEW

ORANGE, JULIUS, GRAPE & HOWARD, Bombast, AZ – Partner (2002 to Present): Provide legal counsel to insurance and reinsurance companies as a member of the Insurance Regulatory Practice Group. Brought large book of business from prior insurance regulatory practice at Lord & Baron.

GASLIGHT & HORST, LLP, Bombast, AZ – Attorney (2002): Served in the Insurance Regulatory, Corporate Governance, Banking, and Securities Practice Groups.

OSGOOD DAVIS, LLP, Bombast, AZ – Attorney (2001 to 2002): Serve in the Corporate Transactions and Insurance Regulatory Compliance Practice Groups.

LORD & BARON, LLP, Bombast, AZ – Partner (1991 to 2001); Associate (1988 to 1991): Managed the firm's Money and Credit Compliance Department. Also assisted the accounting department with collections efforts from insolvent clients.

R.F. DAVIES & SON, Artax, NM – Assistant Vice President, Contract Department (1987 to 1988); Assistant Secretary, Treaty Accounting and Letter of Credit Manager (1984 to 1987): Prepared and negotiated reinsurance contracts with cedent clients and reinsurers. Supervised staff of 17 in all phases of Treaty Accounting.

CRANE BANK, N.A., Bombast, AZ – Supervisor, Letters of Credit Department (1981 to 1984).

WOODMEN OF THE WORLD INSURANCE, Bombast, AZ – Senior Operations Assistant (1978 to 1981).

EDUCATION

COLUMBIA LAW SCHOOL
Juris Doctorate

UNIVERSITY OF CHICAGO
MBA in Finance, with Honors

UNIVERSITY OF ARIZONA
BA in Psychology